



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|             |                       |   |                         |
|-------------|-----------------------|---|-------------------------|
| Applicant:  | Haughawout et al.     | ) |                         |
|             |                       | ) |                         |
| Serial No.: | 10/603,839            | ) | Examiner: not assigned  |
|             |                       | ) |                         |
| Filed:      | 06/25/2003            | ) | Group Art Unit:         |
|             |                       | ) |                         |
| Title:      | SYSTEM AND METHOD FOR | ) | Docket No.: 81230.93US1 |
|             | MONITORING REMOTE     | ) |                         |
|             | CONTROL TRANSMISSIONS | ) |                         |

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b)(1))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, pursuant to 37 C.F.R. §1.56, the items of information listed on the enclosed Form PTO/SB/08A are brought to the attention of the Examiner.

This statement should be considered because it is submitted, pursuant to 37 C.F.R. §1.97(b)(1), within three months of the filing date of the application; therefore, no fee is required.

In accordance with 37 C.F.R. §1.98(2)(i), a copy of each U.S. patent listed on Form PTO/SB/08A is enclosed herewith.

In accordance with 37 C.F.R. §1.104, no representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicant reserves the

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By: Ranni Matar  
Name: Ranni Matar

U.S. S/N 10/603,839

right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Form PTO/SB/08A, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: September 10, 2003

By: 

Gary R. Jarosik, Reg. No. 35,906  
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|   |                      |                        |             |
|---|----------------------|------------------------|-------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 10/603,839             |             |
|   | Filing Date          | 06/25/2003             |             |
|   | First Named Inventor | Joseph Lee Haughawout  |             |
|   | Art Unit             |                        |             |
|   | Examiner Name        | not assigned           |             |
| Total Number of Pages in This Submission  | 4                    | Attorney Docket Number | 81230.93US1 |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                               | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment / Response                                | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert a Provisional Application          | <input type="checkbox"/> Proprietary Information   |
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| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | Customer Number 34018<br>Gary R. Jarosik<br>Reg. No. 35,906 |
| Signature                                  |   |
| Date                                       | September 10, 2003  |

| CERTIFICATE OF TRANSMISSION/MAILING   |             |      |           |
|---|-------------|------|-----------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the |             |      |           |
| Typed or printed name   | Ranni Matar |      |           |
| Signature   |             | Date | 9/10/2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

|                        |                      |
|------------------------|----------------------|
| Application Number     | 10/603,839           |
| Filing Date            | 06/25/2003           |
| First Named Inventor   | Joseph Lee Haughwout |
| Art Unit               |                      |
| Examiner Name          |                      |
| Attorney Docket Number | 81230.93US1          |

### U.S. PATENT DOCUMENTS

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|-----------------|--------------------------------------|--------------------------------|--|---|
|                       |                          | Number          | Kind Code <sup>2</sup><br>(if known) |                                |  |   |
|                       |                          | US- 6,496,927   | B1                                   | 12/17/2002                     | McGrane et al.                                     |   |
|                       |                          | US- 6,225,938   | B1                                   | 05/01/2001                     | Hayes et al.                                       |   |
|                       |                          | US- 6,014,092   |                                      | 01/11/2000                     | Darbee et al.                                      |   |
|                       |                          | US- 5,959,751   |                                      | 09/28/1999                     | Darbee et al.                                      |   |
|                       |                          | US- 5,614,906   |                                      | 03/25/1997                     | Hayes et al.                                       |   |
|                       |                          | US- 5,481,256   |                                      | 01/02/1996                     | Darbee et al.                                      |   |
|                       |                          | US- 5,235,414   |                                      | 08/10/1993                     | Cohen  |   |
|                       |                          | US- 4,959,810   |                                      | 09/25/1990                     | Darbee et al.                                      |   |
|                       |                          | US- 4,623,887   |                                      | 11/18/1986                     | Welles, II   |   |
|                       |                          | US-             |                                      |                                |  |   |
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|                       |                          | US-             |                                      |                                |  |   |

### FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                 | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|-------------------------|---------------------|---------------------------------|--------------------------------|--|--|----------------|
|                       |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind <sup>5</sup><br>(if known) |                                |  |  |                |
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|                       |                          |                         |                     |                                 |                                |  |  |                |

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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